

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>P/61827-PCT</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/048108</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/GB00/02944</b>		INTERNATIONAL FILING DATE <b>July 31, 2000</b>		PRIORITY DATE CLAIMED <b>July 29, 1999</b>	
TITLE OF INVENTION <b>PIEZO-ELECTRIC TAG</b>					
APPLICANT(S) FOR DO/EO/US <b>Ian James FORSTER</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input checked="" type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <b>Items 11 to 20 below concern document(s) or information included:</b> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. 14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <b>Receipt Acknowledgment Postcard</b>					

531 Rec'd PCT/25 JAN 2002

U.S. APPLICATION NO. (if known, see 37 CFR 1.6) <b>10/048108</b>		INTERNATIONAL APPLICATION NO. <b>PCT/GB00/02944</b>		ATTORNEY'S DOCKET NUMBER <b>P/61827-PCT</b>	
---	--	--	--	--	--

21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,040.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$890.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$740.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>          	
				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	27 - 20 =	7	x \$18.00	\$126.00	
Independent claims	3 - 3 =	0	x \$84.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,016.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0.00	
<b>SUBTOTAL =</b>				\$1,016.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
<b>TOTAL NATIONAL FEE =</b>				\$1,016.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$1,016.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of **\$1,016.00** to cover the above fees is enclosed.

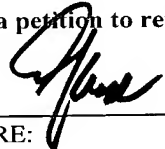
b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 11-1145. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.**  
 489 Fifth Avenue  
 New York, New York 10017  
 (212) 697-3750

SIGNATURE: 

NAME  
**Alan Israel**

REGISTRATION NUMBER  
**27,564**

*I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. EL 337 911 913 US in an envelope addressed to: Box: PCT, Commissioner of Patents and Trademarks, Washington, D.C. 20543 on: January 25, 2002 (date)*

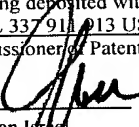
Alan Israel Reg. No. 27,564

1004319610802  
107048108  
531 Rec'd PCT/F 25 JAN 2002

Docket No.: P/61827

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. EL 337 911 013 US in an envelope addressed to: Box PCT, Commissioner of Patents and Trademarks, Washington, D.C., 20231, on  
January 25, 2002  
(date)   
Alan I. Ishaq  
Reg. No. 27,564

International Application No. : PCT/GB00/02944  
International Filing Date : July 31, 2000  
In re: Application of : Ian James FORSTER  
Deposited : January 25, 2002  
For : PIEZO-ELECTRIC TAG

New York, New York  
January 25, 2002

**PRELIMINARY AMENDMENT**

BOX: PCT  
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Prior to calculation of the filing fee and before examination, kindly amend the  
above captioned application as follows:

**IN THE CLAIMS:**

Please cancel claims 1-27, without prejudice.

Please add the new set of claims 28-54 set forth on the enclosed pages.

**IN THE ABSTRACT:**

Delete the "Abstract" on the PCT cover sheet and replace it with the "Abstract of the Disclosure" set forth on a separate sheet attached hereto.

**REMARKS**

An abstract has been provided on a separate sheet; and the claims have been amended to conform to U.S. practice.

Wherefore, an early action on the merits is earnestly solicited.

Respectfully submitted,

KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.

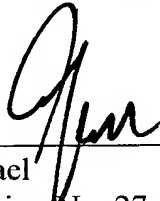
Attorneys for Applicant(s)

489 Fifth Avenue

New York, New York 10017-6105

Tel: (212) 697-3750

Fax: (212) 949-1690



---

Alan Israel

Registration No. 27,564

**ABSTRACT OF THE DISCLOSURE**

A piezo-electric tag in the form of a card has a first dipole antenna, a first rectification circuit, a piezo-electric transformer, a second rectification circuit, and a transponder circuit. In operation, the antenna receives incoming radiation and generates a corresponding signal which propagates to the first circuit which demodulates and filters it to generate a signal which is applied to the transformer to excite it. The transformer increases the voltage amplitude of the signal by generating a relatively higher voltage amplitude signal which is used in the tag to generate a signal for supplying power to the transponder. The transformer provides voltage magnitude enhancement to generate potentials suitable for operating active electronic circuits incorporated into the tag. The tag can be personnel wearable and even adapted for permanent inclusion into biological systems.

.  
.  
.  
.

**PROPOSED NEW CLAIMS**

28. A piezo-electric tag, comprising:
- a) receiving means for receiving input radiation and generating a corresponding received signal;
  - b) piezo-electric vibrating means for increasing voltage magnitude of the received signal to generate a supply potential; and
  - c) electronic circuit means powerable by the supply potential.
29. The tag according to claim 28, wherein the vibrating means comprises a piezo-electric transformer incorporating mutually vibrationally coupled primary and secondary regions, the transformer being operable to be excited into vibration by the received signal at the primary region and to generate a corresponding output signal at the secondary region for use in generating the supply potential.
30. A tag according to claim 28, wherein the vibrating means comprises a piezo-electric bi-morph operable to be excited into vibration by the received signal and to generate a corresponding output signal for use in generating the supply potential.
31. The tag according to claim 28, wherein the vibrating means comprises a silicon micromachined device comprising an array of resonant elements, each element incorporating an associated piezo-electric transducer operable to generate an element signal in response to vibration of its associated element, the transducers being connected in series to add their element signals to provide an overall output from which the supply potential is

generated, and driving means operable to be driven by the received signal for stimulating the elements into vibration and thereby generating the supply potential.

32. The tag according to claim 31, wherein the resonant elements are operable at resonance to generate the supply potential.

33. The tag according to claim 31, wherein the resonant elements are housed in an evacuated environment for increasing their resonance Q factor.

34. The tag according to claim 28, wherein the receiving means incorporates demodulating means for demodulating modulation components present in the received radiation to generate the received signal.

35. The tag according to claim 34, wherein the demodulating means incorporates zero-bias Schottky diodes for demodulating the received radiation to generate the received signal.

36. The tag according to claim 34, wherein the demodulating means incorporates transistors operable as synchronous demodulators for demodulating the received radiation to generate the received signal.

37. The tag according to claim 28, wherein the circuit means is operable to function in a class C mode for reducing tag power consumption.

38. The tag according to claim 28, wherein the receiving means incorporates first and second antennas for generating the received signal for exciting the vibrating means, the first antenna being adapted to respond to microwave radiation, and the second antenna

being adapted to respond to radiation having a carrier frequency corresponding to a resonant frequency of the vibrating means.

39. The tag according to claim 28, wherein the receiving means incorporates at least one of a metallic film dipole antenna, a loop antenna and a patch antenna for at least one of receiving and emitting radiation.

40. The tag according to claim 28, wherein the circuit means comprises responding means for emitting output radiation from the tag, the responding means being powerable by the supply potential.

41. The tag according to claim 40, wherein the vibrating means is operable to recover a clock component of Manchester bi-phase encoded radiation received at the tag, and wherein the responding means is operable to use the clock component to demodulate the encoded radiation to generate corresponding demodulated data for use in the tag.

42. The tag according to claim 40, wherein the tag incorporates two antennas, one antenna for use in generating the received signal, and the other antenna incorporated into the responding means for at least one of emitting and receiving radiation.

43. The tag according to claim 40, wherein the antennas are conductive metallic film dipole antennas.

44. The tag according to claim 28, the tag having a form of a block.

45. The tag according to claim 28, the tag having a form of a planar card.



46. The tag according to claim 45, wherein the card incorporates recesses for accommodating the receiving means, the vibrating means and the responding means.

47. The tag according to claim 40, wherein the responding means is a transponder operable to receive input radiation to the tag and emit output radiation in response from the tag.

48. The tag according to claim 47, wherein the transponder is operable to modulate the output radiation with a signature code by which the tag is individually identified.

49. The tag according to claim 47, wherein the transponder incorporates a reflection amplifier for amplifying the input radiation to generate the output radiation.

50. The tag according to claim 47, wherein the transponder is operable in a pseudo-continuous mode and incorporates a delay line for delaying the output radiation relative to the input radiation, thereby counteracting spontaneous oscillation from arising within the transponder from feedback therein.

51. The tag according to claim 28, and a metallic earthing plane for providing a common signal earth for the tag.

52. The tag according to claim 28, and means for implantation into a biological system and operable for at least one of monitoring and stimulating the biological system.

53. A method of guiding a vehicle along a path to a destination, the method comprising the steps of:

- a) distributing a plurality of piezo-electric tags along the path, and providing the vehicle with a direction sensitive interrogating source for transponding with the tags, each tag comprising receiving means for receiving input radiation and generating a corresponding received signal, piezo-electric vibrating means for increasing voltage magnitude of the received signal to generate a supply potential, and electronic circuit means powerable by the supply potential;
- b) interrogating the tags from the source by emitting radiation to the tags and receiving radiation therefrom, thereby determining direction of the tags relative to the source and hence determining the path;
- c) moving the vehicle along the path; and
- d) repeating steps b) and c) until the vehicle reaches the destination.

54. A silicon micromachined device for receiving an input signal and generating a corresponding voltage magnitude enhanced output signal therefrom, the device comprising: an array of resonant elements, each element incorporating an associated piezo-electric transducer operable to generate an element signal in response to vibration of its associated element, the transducers being connected in series to add their element signals to provide the output signal, and driving means operable to be driven by the input signal for stimulating the elements into vibration and thereby generating the output signal.

## PIEZO-ELECTRIC TAG

The present invention relates to a piezo-electric tag.

- 5 Tags are portable devices which are capable of being attached to items or personnel wearable. They can be used, for example, for remotely identifying the items or receiving information therefrom. In many applications, the tags must be compact and be capable of responding after long periods of inactivity, for example where the tags are incorporated into items placed into storage for periods of several years.

10

Conventionally, tags can be passive devices which modify and reflect interrogating radiation directed thereto from associated interrogating sources. Because the tags do not provide power gain, their operating range from the sources is often limited to a few metres.

- 15 Active tags are known which incorporate onboard power sources such as a miniature electrical cell. Such power sources have limited operating lifetime, especially if they are required to power their associated tags continuously. Moreover, the sources can make the tags unacceptably bulky for some applications, for example where tags are implemented as film strips for incorporating into spines of library books.

20

Although it is feasible to power tags from radiation incident thereupon, for example using solar cells incorporated into the tags or by inductively coupling energy from associated interrogating sources to the tags, it is not practicable in some circumstances to do this for safety reasons, for reasons of restricted operating range or for reasons of obscuration in the

- 25 case of solar cells.

The use of received radio radiation for powering electronic tags is known in the art, for example as disclosed in a published patent application no. GB 2 306 081A. In the application, there is described a passive electrical power supply for providing electrical power to an electronic tag, the supply comprising an antenna for converting received radio frequency radiation into a first electrical signal, and a transformer including wire-wound coils for transforming the first signal into a second signal capable of altering the impedance of a field effect transistor (FET). In operation, the FET provides at its drain electrode a quasi half-wave rectified representation of the second signal which is converted to a unipolar signal by a capacitor connected to the drain electrode, the unipolar signal providing a power supply potential for operating the tag. The supply is operable to convert the received radiation into the unipolar signal such that the transformer operates at the frequency of the received radiation received at the antenna. The transformer can optionally be an autotransformer comprising a single wire-wound coil.

15 A power supply for a transponder is also disclosed in a published patent application no. GB 2 303 767 A. The supply described provides power to a response circuit of the transponder, the supply generating direct current (d.c.) from received electromagnetic energy. The supply comprises a capacitor charged from a rectifier diode, the diode having a characteristic such that its reverse resistance against a reverse current directed at its n region to its p region is lower than its forward resistance against a reverse current directed from its p region to its n region. The diode is thus connected reversely compared to a conventional diode, its anode being connected to a positive plate of the capacitor. The arrangement allows the transponder to remain functional even when the received electromagnetic energy is relatively weak. The required characteristic for the diode can be implemented by the avalanche or tunnel effect.

25 Moreover, a voltage multiplier may be provided by using a plurality of the diodes with

WO 01/09640

PCT/GB00/02944

associated capacitors for generating higher supply potentials. The supply does not employ any form of transformer for increasing the potential of signals generated in response to receiving the electromagnetic energy.

- 5 Piezo-electric transformers capable of stepping up potentials are also known in the art, for example as described in US patent nos. US 5 828 160 and US 5 389 852. Such transformers are operable to resonate at a frequency typically in a range of several tens of kHz to 300 kHz when stepping up potentials. This range of frequencies is considerably less than that used for electromagnetic radiation conventionally employed to interrogate electronic tags, for  
10 example 10 MHz to 30 GHz. Although piezo-electric transformers operating at frequencies above 300 kHz can be fabricated, for example 600 kHz, their cost and difficulty of fabrication renders them unattractive for items such as electronic tags.

- Non-contact energy coupling schemes employing piezo-electric devices are known in other  
15 technical fields, for example as disclosed in a US patent no. 5 749 909 concerning medically implanted devices. In the patent, there is described an energy transmission system for transmitting energy non-invasively from an external unit to an implanted medical device to recharge a battery in the medical device. An alternating magnetic field is generated by the external unit and a piezo-electric device in the implanted medical device vibrates in response  
20 to the magnetic flux to generate a voltage. The voltage is rectified and regulated to provide charging current to a rechargeable battery in the medical device. In the arrangement, the piezo-electric device is stimulated by the magnetic flux at a resonant frequency of the device, namely in the order of tens of kHz.

25

The inventor has appreciated that a principal problem associated with tags operated from radiation incident thereupon is that it is difficult to generate potentials on the tags of sufficient magnitude to operate semiconductor integrated circuits incorporated therein. Such circuits frequently require a supply potential a several volts to function.

5

The inventor has devised a tag which addresses this principal problem and which is operable, for example, from moderate levels of incident radiation thereupon in the order of 10  $\mu$ W. Such moderate levels of radiation rarely represent any health and safety risk.

- 10 According to a first aspect of the present invention, there is provided a piezo-electric tag including receiving means for receiving input radiation and generating a corresponding received signal, piezo-electric vibrating means for increasing voltage magnitude of the received signal to generate a supply potential and electronic circuit means powerable by the supply potential.

15

The invention provides the advantage that the vibrating means is capable of providing voltage magnification, thereby enabling the tag to be powered from radiation incident thereupon.

- 20 For the purpose of describing the invention, microwave frequencies means frequencies substantially in a range of 1 GHz to 30 GHz.

Advantageously, the vibrating means comprises a piezo-electric transformer incorporating mutually vibrationally coupled primary and secondary regions, the transformer operable to  
25 be excited into vibration by the received signal at the primary region and to generate a

WO 01/09640

PCT/GB00/02944

corresponding output signal at the secondary region for use in generating the supply potential.

5 The piezo-electric transformer provides the advantage that it is capable of being compact, inexpensive and providing a considerable increase in signal voltage amplitude from its primary region to its secondary region, the increase approaching 100 times or more.

10 Alternatively, the vibrating means comprises a piezo-electric bi-morph operable to be excited into vibration by the received signal and to generate a corresponding output signal for use in generating the supply potential.

15 As a further alternative, the vibrating means conveniently comprises a silicon micromachined device comprising an array of one or more resonant elements, each element incorporating an associated piezo-electric transducer operable to generate an element signal in response to vibration of its associated element, the transducers connected in series to add their element signals to provide an overall output from which the supply potential is generated, and driving means operable to be driven by the received signal for stimulating the one or more elements into vibration and thereby generating the supply potential.

20 The silicon device provides the advantage that it capable of being mass-produced and being highly compact, for example 2 mm wide by 2 mm long by 0.6 mm thick.

25 Advantageously, the resonant elements in the silicon device are operable at resonance to generate the supply potential. Operation at resonance provides the benefit that voltage magnification in the device is greater than off-resonance.

Moreover, to obtain even greater voltage magnification, the resonant elements are housed in an evacuated environment. Operation in the evacuated environment increases Q-factor of the resonant elements, thereby increasing voltage magnification provided by the silicon device.

5

Conveniently, the receiving means in the tag incorporates demodulating means for demodulating modulation components present in the received radiation to generate the received signal. Inclusion of the demodulating means provides the benefit of signal frequency transformation, thereby enabling the tag to receive radiation providing power thereto at a different carrier frequency to the frequency of vibration required for exciting the vibrating means.

10

Advantageously, the demodulating means incorporates zero-bias Schottky diodes for demodulating the received radiation to generate the received signal. The zero-bias Schottky diodes provide the advantage of exhibiting a smaller forward conduction voltage drop compared to p-n silicon junction diodes, thereby enabling the tag to function with lower levels of received radiation power, for example 10  $\mu$ W.

15

Conveniently, the receiving means incorporates one or more conductive metallic film dipole antennae for one or more of receiving and emitting radiation. Such dipoles provide the advantage of being potentially compact and inexpensive to mass-produce.

20

The tag beneficially incorporates two antennae, one antenna for use in generating the received signal and the other incorporated into the responding means for at least one of emitting and receiving radiation. Incorporating two antennae provides the advantage that

25



each antennae can be optimized to function at its respective radiation frequency. Conveniently, the antennae are conductive metallic film dipole antennae for reasons of increased compactness and reduced manufacturing cost. Alternatively, the antennae can also be patch antennae or loop antennae.

5

In some practical applications of the tag, it is advantageous that the tag is implemented in the form of a block, for example a cuboid block. This form provides the tag with enhanced mechanical robustness and thereby increases its reliability.

10 When the tag is personnel wearable or attachable to items of merchandise, it is convenient that the tag is in the form of a planar card. This form provides the advantage that the tag can be of similar size to existing planar cards, for example debit cards, thereby providing a degree of potential compatibility with existing card reading equipment.

15 When the tag is implemented in a planar card form, it conveniently incorporates recesses for accommodating the receiving means, the vibrating means and the responding means. Such recesses provide protection for the receiving means and the responding means, thereby making the tag more robust.

20 In the tag, the circuit means can comprises responding means for emitting output radiation from the tag, the responding means powerable by the supply potential. Incorporation of the responding means enables the tag to be remotely identified when interrogated.

Conveniently, the responding means is a transponder operable to receive input radiation to  
25 the tag and emit output radiation in response from the tag. Incorporation of the transponder

enables the tag to be selectively responsive to interrogating radiation in an environment which is flood illuminated with radiation for exciting the vibrating means.

Advantageously, the transponder is operable to modulate the output radiation with a signature code by which the tag can be individually identified. The code enables the tag to be individually recognised which is highly advantageous where the tag is personnel wearable and used to identify its wearer, for example as in personal identification tags worn by employees in a commercial establishment.

10 When operating with high frequency radiation, for example at UHF frequencies from 300 MHz to 1 GHz and from microwave frequencies from 1 GHz to 30 GHz, the tag advantageously has the transponder incorporating a reflection amplifier for amplifying the input radiation to generate the output radiation. The reflection amplifier provides the advantage that it is capable of providing a high gain, for example in a range of +10 dB to  
15 +30 dB, for relatively low current consumption, for example in the order of a few microamperes.

Advantageously, especially when the transponder provides considerable gain, the transponder is operable in a pseudo-continuous mode and incorporates a delay line for  
20 delaying the output radiation relative to the input radiation, thereby counteracting spontaneous oscillation from arising within the transponder from feedback therein.

Conveniently, the tag is arranged such that the receiving means incorporates first and second antennae for generating the received signal for exciting the vibrating means, the first antenna  
25 adapted to respond to microwave radiation and the second antenna adapted to respond to

WO 01/09640

PCT/GB00/02944

radiation having a carrier frequency corresponding to a resonant frequency of the vibrating means. Incorporation of two antennae for generating the received signal provides the advantage that the tag is powerable from radiation having a number of possible carrier frequencies.

5

In a second aspect of the invention, there is provided a method of guiding a vehicle along a path to a destination, the method comprising the steps of:

- 10 (a) distributing a plurality of tags according to the first aspect along the path and providing the vehicle with a direction sensitive interrogating source adapted to transpond with the tags;
- (b) interrogating the tags from the source by emitting radiation to the tags and receiving radiation therefrom, thereby determining direction of the tags relative to the source and hence determining the path;
- 15 (c) moving the vehicle along the path; and
- (d) repeating steps (b) and (c) until the vehicle reaches the destination.

20

Embodiments of the invention will now be described, by way of example only, with reference to the following diagrams in which:

Figure 1 is a schematic of a first embodiment of the invention;

Figure 2 is an exterior perspective view of the first embodiment shown in Figure 1;

25 Figure 3 is an illustration of a second embodiment of the invention;

- Figure 4 is an illustration of a third embodiment of the invention incorporating a simplified circuit utilising loop antennae;
- Figure 5 is an illustration of a fourth embodiment of the invention adapted for operating with Manchester encoded signals; and
- Figure 6 is an illustration of a fifth embodiment of the invention incorporating a single antenna for use in emitting and receiving radiation.
- 10 Referring to Figure 1, there is shown a piezo-electric tag according to a first embodiment of the invention indicated by 10. The tag 10 incorporates a number of sections, namely a first dipole antenna indicated by 20 and included within a dotted line 22, a first rectification circuit indicated by 30 and included within a dotted line 32, a piezo-electric transformer indicated by 40 incorporating a primary region 42 and a secondary region 44, a second rectification circuit indicated by 50 and included within a dotted line 52, and a transponder circuit indicated by 60 and included within a dotted line 62. The sections are incorporated into a plastic card having external dimensions of 55 mm width, 85 mm length and 1 mm thickness; this will be further described later with reference to Figure 2.
- 15
- 20 The transponder 60 incorporates a dipole antenna indicated by 64 and included within a dotted line 66, a bi-directional surface acoustic wave (SAW) delay line 68 and a reflection amplifier 70.
- 25 The first dipole antenna 20 is connected to an input of the first rectification circuit 30. The circuit 30 includes an output which is connected to the primary region 42 of the transformer 40. The secondary region 44 thereof is connected to an input of the second rectification

WO 01/09640

PCT/GB00/02944

circuit 50. The second circuit 50 incorporates an output which is connected to a power supply input to the transponder 60.

Operation of the tag 10 will now be described in broad overview after which its sections will  
5 be described in further detail.

The antenna 20 receives incoming radiation 100 from an interrogating source (not shown).  
The radiation 100 has a carrier frequency of 1 GHz which is amplitude modulated to a  
modulation depth in a range of 50% to 100% by a modulating signal which has a frequency  
10 of 300 kHz. Moreover, the radiation 100 has a power density of  $5 \text{ mW/m}^2$  at the antenna  
20. The radiation 100 couples to the antenna 20 and generates a corresponding signal  $S_a$   
across output terminals  $T_1$ ,  $T_2$  of the antenna 20; the signal  $S_a$  has a frequency of 1 GHz and  
an amplitude in the order of 80 mV. The signal  $S_a$  propagates to the first circuit 30 which  
demodulates it and then filters it to substantially remove signal components above 1 MHz  
15 to generate a unipolar modulated signal  $S_b$  having signal components at 300 kHz. The  
transformer 40 receives the signal  $S_b$  across its primary region terminals  $P_1$ ,  $P_2$ . The signal  
 $S_b$  stimulates the primary and secondary regions 42, 44 to resonate at 300 kHz in their  
longitudinal mode of vibration. At resonance, the transformer 40 magnifies the signal  $S_b$   
received at its primary region 42 to generate a bipolar alternating signal  $S_c$  at a secondary  
20 region terminal  $S_1$ , the signal  $S_c$  having an amplitude in the order of 3 volts. The second  
circuit 50 receives the signal  $S_c$  and demodulates and filters it to generate a substantially  
smoothed unipolar signal  $S_d$  at an output terminal of the circuit 50. The transponder 60  
receives the signal  $S_d$  and uses it as a supply potential to power active circuits incorporated  
thereinto.

25

The transformer 40 provides the advantage of performing a step-up voltage conversion

WO 01/09640

PCT/GB00/02944

function from its primary region 42 to its secondary region 44 at resonance, thereby providing the signal  $S_d$  of sufficient magnitude of several volts to power active electronic devices incorporated into the transponder 60, namely the reflection amplifier 70. Although the transformer 40 cannot provide power gain, it is effective to provide an impedance  
5 conversion for matching an input impedance presented by the second circuit 50 to an output impedance presented by the first circuit 30; the signal  $S_a$  of relatively lower voltage amplitude from the antenna 20 which is unsuitable for powering circuits is thereby converted into the signal  $S_d$  of relatively high voltage, namely several volts, which is suitable for powering circuits.

10

The transponder 60 receives incoming continuous-wave radiation 102 from the interrogating source. The radiation 102 has a carrier frequency of 1.5 GHz. In response to receiving the radiation 102, the antenna 64 generates a corresponding signal  $S_c$  at its terminals which passes to the delay line 68 and propagates therethrough whilst being delayed therein to  
15 provide a signal  $S_f$  at an input to the reflection amplifier 70. The amplifier 70 presents a modulated negative resistance at its input/output terminal and thereby reflectively amplifies the signal  $S_f$  to generate a corresponding modulated amplified signal  $S_g$ . The signal  $S_g$  propagates back through the delay line 68 whilst being delayed therein to the antenna 64 from where it is emitted as return radiation. The interrogating source receives the return  
20 radiation and determines that it is modulated, thereby detecting the presence of the tag 10.

The tag 10 provides the benefit that it is capable of providing the modulated return radiation without there being a need for the tag 10 to incorporate limited lifetime power sources such  
25 as batteries for powering its active circuits. Avoidance of the need for batteries provides the tag 10 with a potentially useable lifetime of several decades or more. Thus, the tag 10 is

thereby suitable for attachment to products which are to be stored for lengthy periods of time, for example several years.

Sections of the tag 10 will now be described in more detail.

5

The antenna 20 is a thin film dipole formed by conductive tracks on a major surface of the card. It is designed to operate at a radiation frequency of 1 GHz. The terminal  $T_2$  of the antenna 20 is connected to a signal ground on the card, and the terminal  $T_1$  is connected to the first circuit 30.

10

The circuit 30 incorporates two zero-bias Schottky diodes  $D_1$ ,  $D_2$  and a filter capacitor  $C_1$ . The diode  $D_2$  is connected by its anode to the diode  $D_1$  at its cathode to form an input terminal; the terminal is connected to the terminal  $T_1$  of the antenna 20. The diode  $D_2$  is connected at its cathode to a first terminal of the capacitor  $C_1$ . The capacitor  $C_1$  incorporates  
15 a second terminal which is connected to the signal ground. The diode  $D_1$  incorporates an anode which is also connected to the signal ground.

20

The diodes  $D_1$ ,  $D_2$  are operable to provide signal rectification at microwave frequencies, for example 1 GHz, and be responsive to signal amplitudes in the order of mV. They  
incorporate metal-semiconductor junctions for performing rectification. Ordinary p-n  
silicon junction diodes are not as desirable for use in substitution for the diodes  $D_1$ ,  $D_2$   
because of their relatively greater voltage drop when operating under forward bias. The  
capacitor  $C_1$  is operable to shunt signal components at microwave frequencies to the signal  
ground. An output from the circuit 30 is extracted from across the capacitor  $C_1$ , namely from  
25 the first terminal of the capacitor  $C_1$  relative to the signal ground.

The transformer 40 is fabricated from a hard piezoelectric lead zirconate titanate (PZT) material whose dielectric loss coefficient is less than 0.02; the dielectric loss coefficient is defined as a ratio of energy dissipated per cycle to energy stored per cycle. It has exterior  
5 dimensions of 3 mm width, 6 mm length and 1 mm thickness and is therefore of an elongate form having an elongate axis. In operation, it is designed to periodically vibrate in a longitudinal manner along the elongate axis at a resonant frequency of approximately 300 kHz. The primary region 42 comprises a multilayer stack of piezoelectric elements, each element having exterior dimensions of 3 mm length, 3 mm width and 0.1 mm thickness and  
10 polarised in its thickness direction. The secondary region 44 comprises a single element having exterior dimensions of 3 mm width, 3 mm length and 1 mm thickness; the region 44 is polarised in a direction parallel to the elongate axis when assembled in the transformer 40. The elements of the primary region 42 and the second region 44 are mutually joined by sintering them together or using an epoxy resin of comparable rigidity to the PZT material.

15

In operation, the transformer 40 exhibits a longitudinal resonance mode at 300 kHz frequency having an associated Q-factor in the order of 100. It functions at its resonance to magnify the voltage amplitude of signals applied to its primary region 42 by generating  
corresponding signals at its secondary region 44 of relatively greater voltage amplitude.

20 This magnification arises at the expense of reduced signal current at the secondary region 44 compared to the primary region 42; in other words, the transformer 40 provides an impedance match but does not impart power gain.

The circuit 50 employs an identical configuration to the circuit 30. The capacitor  $C_1$  and  
25 the diodes  $D_1$ ,  $D_2$  in the circuit 30 correspond to a capacitor  $C_2$  and diodes  $D_3$ ,  $D_4$  in the



circuit 50 respectively.

The reflection amplifier 70 of the transponder 60 is connected at its power supply connections to the signal ground and to the first terminal of the capacitor  $C_2$  which is not  
5 connected to the signal ground. Electrical power is thereby supplied to the amplifier 70 in operation.

The reflection amplifier 70 incorporates a switching oscillator which periodically switches reflective gain provided by the amplifier 70 between a high gain state and a low gain state.  
10 The oscillator is operable to switch the amplifier 70 in a cyclical manner between the high gain state for a period of  $2\tau$  and the low gain state for a period of  $2\tau$ . In the low gain state, the amplifier 70 is incapable of sustaining spontaneous oscillation within the transponder 60. The period of  $2\tau$  corresponds to twice a time duration for signals to propagate in one direction through the delay line 68. Periodic switching of gain provided by the amplifier 70  
15 counteracts the formation of spontaneous oscillation within the transponder 60 because amplified signals from the amplifier 70 are reflected from the antenna 64 and return to the amplifier 70 when it is switched to its low gain state. In its high gain state, the amplifier 70 provides +23 dB gain which could result in the formation of spontaneous oscillation if the amplifier 70 were not periodically gain switched to the lower gain state as described above.

20

Referring now to Figure 2, there is provided an exterior perspective illustration of the tag  
10. The tag 10 incorporates a non-conducting plastic substrate layer 200 having first and second major faces. Onto the first major face is bonded a conductive earth-plane layer 210 of aluminium material in a range of 30  $\mu\text{m}$  to 100  $\mu\text{m}$  thick. The layers 200, 210 have a  
25 length of 85 mm in an x-direction indicated by an arrow 212, and a width of 55 mm in a y-

direction indicated by an arrow 214. The layers 200, 210 have a combined thickness of 1 mm in a z-direction indicated by an arrow 216.

The substrate layer 200 incorporates recesses 230, 240, 250, 260 moulded thereinto to  
5 accommodate the circuits 30, 50, the transformer 40, the amplifier 70 and the delay line 68 respectively. Being elongate, the tag 10 has an elongate axis in the x-direction. At first and second elongate ends of the tag 10, there are formed the antennae 20, 64 respectively. The antennae 20, 64 are both bow-tie dipole antennae incorporating deposited metallic regions formed onto the second major face of the layer 200. Connecting conductive tracks are also  
10 formed on the second major face to connect the antennae 20, 64 to the circuits 30, 50 and the delay line 68 respectively. Further tracks are included to connect the circuits 30, 50 to the transformer 40 and the amplifier 70, and the delay line 68 to the amplifier 70. Wire bonding techniques are employed for bonding from the tracks to the recesses 230, 240, 250, 260.

15

When fabricated, a 100  $\mu\text{m}$  thick protective plastic layer (not shown) is added onto the second major face to protect the antennae 20, 64, the tracking, the circuits 30, 50, the transformer 40, the amplifier 70 and the delay line 68. Graphical information, for example optically readable bar codes or a photographic image, can be optionally printed onto the  
20 protective layer. The photographic image is particularly relevant when the tag 10 is personnel wearable and used as a remotely interrogatable identity tag.

Referring now to Figure 3, there is shown a piezoelectric tag according to a second embodiment of the invention indicated by 300. The tag 300 is identical to the tag 10 except  
25 that it additionally includes a planar coil 310 in parallel connection with the capacitor  $C_1$ .

The earth plane layer 210 can be selectively absent in a vicinity of the coil 310 so as not to excessively screen the coil 310. The coil 310 is formed onto the second major face of the layer 200 shown in Figure 2 adjacent to the circuits 30, 50 and the transformer 40. The capacitor  $C_1$ , in parallel with an electrical capacitance presented by the transformer 40  
5 between its terminals  $P_1$ ,  $P_2$ , and the coil 320 are operable to parallel resonate at the resonant frequency of the transformer 40, namely 300 kHz. Inclusion of the coil 320 enables the tag 300 to be powered not only from 1 GHz radiation received at the antenna 20 but also from inductively coupled magnetic fields at 300 kHz coupling to the coil 320. The tag 300 can thereby be powered in two different modes so that it can be used in environments where  
10 radiation at either or both frequencies, 300 kHz and 1 GHz, are present; for example, in environments where microwave radiation cannot be tolerated for safety reasons.

As an alternative to using the diodes D1 to D4 in the tags 10, 300, FETs functioning as asynchronous detectors may be employed. FETS operating in this mode exhibit a voltage  
15 drop thereacross in the order of microvolts.

Moreover, the antennae 20, 64 may be substituted by a single patch antenna or a single loop antenna operable to receive and emit radiation and convey signals to the circuit 30, and to and from the delay line 68. Although the tags 10, 300 are described as being receptive and  
20 emissive at radiation frequencies of 1 GHz and 1.5 GHz, they can be operated at other microwave frequencies by modifying dimensions of features of the antennae 20, 64 and the delay line 68. At microwave frequencies in excess of 10 GHz, the delay line 68 is advantageously replaced by a magnetostatic wave delay line (MWDL), for example a delay line incorporating a film of yttrium iron garnet (YIG) providing a signal propagation path  
25 in the delay line.

Furthermore, the tags 10, 300 can be modified by replacing the transponder 60 with, for example, a simple oscillator emitting through its antenna encoded radiation unique to the oscillator, thereby enabling the tags 10, 300 when modified to be uniquely identified from the radiation emitted therefrom. Additionally, the transponder 60 can be operable to emit radiation during a first period and be inactive during a second period, the transponder arranged to switch cyclically between the first and second period; this provides the advantage that the transponder 60 can respond by emitting bursts of relatively more powerful radiation during the first period and conserve energy during the second period.

10

Referring now to Figure 4, is an illustration of a piezo-electric tag indicated by 400 which incorporates a simplified circuit utilising a first loop antenna 410 for receiving radiation, a transmitter module (TX) 420 and a second loop antenna 430 for emitting radiation. The tag 400 further comprises the transformer 40 and the second rectification circuit 50. In a similar manner to the tags 10, 300, the tag 400 is powered from radiation incident thereupon.

15

The antenna 410 includes first and second connections, the first connection connected to a signal earth plane of the tag 400 and the second connection connected to the terminal  $P_1$  of the transformer 40. The terminal  $P_2$  of the transformer 40 is connected to the signal earth plane. The terminal  $S_1$  of the transformer 40 is connected to the circuit 50, and the output from the circuit 50 is connected to a  $V_s$  power input of a pulsed transmitter 420. The transmitter 420 is also connected to the signal earth plane. Moreover, the transmitter 420 includes an output Q which is connected to a first connection of the antenna 430. A second connection of the antenna 430 is connected to the signal earth plane.

25

WO 01/09640

PCT/GB00/02944

The antenna 410 provides an inductance at its connections which is arranged to electrically resonate with a capacitance exhibited by the transformer 40 across its terminals  $P_1$ ,  $P_2$  at a frequency corresponding to input radiation to the tag 400 and also to a vibrational mode of the transformer 40 when functioning to increasing signal voltage from its primary region to its secondary region. The transmitter 420 incorporates a transistor biased into class C mode of operation such that it only conducts for part of a signal cycle when functional when an output from the circuit 50 to the transmitter 420 exceeds a threshold value. When the output from the circuit 50 is less than the threshold value, the transistor is non-conducting, thereby conserving power and providing the circuit 50 with maximum opportunity to develop a potential.

Operation of the tag 400 will now be described with reference to Figure 4. The antenna 410 receives radiation incident on the tag 400 at a frequency of 300 kHz and provides a 300 kHz signal across the terminals  $P_1$ ,  $P_2$  which excites the transformer 40 into resonance. The transformer 40 provides a voltage stepped-up signal at a frequency of 300 kHz at its secondary terminal  $S_1$ . The signal passes to the circuit 50 which rectifies it to provide a d.c. potential across the capacitor  $C_2$ . This potential is supplied to the transmitter 420 at its  $V_s$  power input. When the potential exceeds a value of 2 volts relative to the signal earth, the transmitter 420 becomes active and generates at its output Q an output signal in the form of bursts of signal, each burst comprising a sequence of 500 kHz pulses, each burst having a duration of 50  $\mu$ sec and the bursts having a repetition rate of 2 Hz. The output signal couples from the transmitter 420 to the antenna 430 from where it is emitted as radiation.

The tag 400 provides the advantage that it is simpler and potentially cheaper to manufacture than the tags 10, 300. When the tag 400 is manufactured in volume, the transmitter 420 of

WO 01/09640

PCT/GB00/02944

each tag 400 can be customized to generate bursts of 500 kHz radiation at a repetition rate unique to the tag 420, thereby distinguishing it from other tags of identical design. Class C operation provides the advantage that the transistor does not consume power until radiation above a threshold amplitude is received at the tag 400 which causes the transistor  
5 to be driven into an active region of its characteristics.

Modifications can be made to the tag 400 without departing from the scope of the invention. For example, the transformer 40 can be replaced by a piezo-electric vibrating bi-morph or a silicon micromachined vibrating structure capable of providing an increased signal voltage  
10 at its secondary region relative to its primary region.

Referring now to Figure 5, there is shown a tag indicated by 500 for operating with Manchester bi-phase encoded signals. The tag 500 comprises the antenna 20, the circuits 30, 50, and the transformer 40. It further comprises a logic unit 510 and a transmitter 520  
15 linked to a loop antenna 530. The antenna 20 is connected to the circuit 30 which is in turn connected to the transformer 40 and then to the circuit 50 in an identical manner to the tag 10. An output from the circuit 50 generated across the capacitor  $C_2$  is connected to the logic unit 510 and the transmitter 520. Inputs Clk and "Data input" of the unit 510 are connected to the terminals  $S_1$  and  $P_1$  of the transformer 40 respectively.

20

The unit 510 incorporates an output  $D_o$  which is connected to an input  $D_i$  of the transmitter 520. The transmitter 520 includes an output U which is connected to one connection of the antenna 530; another connection of the antenna 530 is connected to a signal earth of the tag 500.

25

A Manchester bi-phase encoded signal M will now be described. A digital data signal D has two states corresponding to logic 0 and logic 1. The signal D switches between these two states to convey a stream of data comprising 0's and 1's. The signal D remains in either of the two states for periods of not less than  $2\tau$  where  $\tau$  is a time constant. The signal D is then exclusive-ORed with a clock signal K having a frequency of  $1/2\tau$  to generate the signal M. The advantage of the Manchester bi-phase signal is that it is constantly changing even when the signal D is in a constant 0 or 1 state.

Operation of the tag 500 will now be described decoding the signal M. Radiation having a carrier frequency of 1 GHz and modulated by the signal M is received at the antenna 20 which generates a corresponding 1 GHz modulated signal. The circuit 30 demodulates the 1 GHz signal to generate the signal M at the terminal P<sub>1</sub> of the transformer 40. The clock signal K is arranged to have a principal frequency component corresponding to a resonance mode of the transformer 40 at which it provides voltage increase from its primary region 42 to its secondary region 44. Because the transformer 40 exhibits a relatively narrow resonance peak, it is effective at stripping out the signal D from the signal M to output predominantly the signal K at the terminal S<sub>1</sub>. The signal at the terminal S<sub>1</sub> then passes to the circuit 50 which rectifies it to generate a d.c. potential across the capacitor C<sub>2</sub>. The potential passes to power supply inputs V<sub>s</sub> of the unit 510 and the transmitter 520 to apply power thereto. The signal M present at the terminal P<sub>1</sub> and the signal K present at the terminal S<sub>1</sub> are also conveyed to the inputs Clk and "Data input" respectively of the unit 510 which performs an exclusive-OR function to recover the signal D which is then output at the output D<sub>o</sub>. The signal D propagates from the unit 510 to the transmitter 520 which is controlled by data conveyed in the signal D. The transmitter 520 responds to the data by emitting modulated 1 MHz radiation from the antenna 530.

WO 01/09640

PCT/GB00/02944

The tag 500 provides the advantage that the transformer 40 performs a dual function, namely to generate a supply potential to power the tag 500 and also to provide signal filtration.

5 In order to reduce manufacturing cost and increase compactness, the inventor has appreciated that it is desirable that a tag should only incorporate a single antenna for both receiving and emitting radiation. In Figure 6, there is shown a tag indicated by 600 incorporating the antenna 20 and operable to both emit radiation therefrom and receive radiation thereat. The tag 600 further comprises the circuits 30, 50, the transformer 40 and  
10 a transmitter (TX) 610. The terminals  $T_1$ ,  $T_2$  of the antenna 20 are connected to an input to the circuit 30 and to a signal earth respectively. An output from the circuit 30 is connected to the terminal  $P_1$  of the transformer 40. The terminal  $P_2$  of the transformer 40 is connected to the signal earth. An output B of the transmitter 610 is connected through a resistor  $R_1$  to the terminal  $P_1$  of the transformer 40. The secondary terminal  $S_1$  of the transformer 40  
15 is connected to the circuit 50 in a similar manner to the tag 10. Moreover, the transmitter 610 further comprises an output V which is coupled through a capacitor  $C_3$  to the terminal  $T_1$  of the antenna 20.

Operation of the tag 600 will now be described with reference to Figure 6. Initially, the  
20 transmitter 610 is not energised such that its output B is at a potential of the signal earth. Radiation having a carrier frequency of 1 GHz and modulated with a signal of 300 kHz is received at the antenna 20 which generates a corresponding signal across its terminals  $T_1$ ,  $T_2$ . The signal is rectified to generate a 300 kHz signal across the capacitor  $C_1$  which then passes to the primary region 42 of the transformer 40 to excite it into resonance. The  
25 transformer 40 generates a voltage-enhanced output signal at a frequency of 300 kHz at the



terminal  $S_1$  which is subsequently demodulated by the circuit 50 to provide a potential for operating the transmitter 610.

The transmitter 610 functions to generate 100  $\mu$ sec duration bursts of 1 GHz signal at a repetition rate of 2 Hz at its output V. When the transmitter 610 is about to emit a burst of 1 GHz radiation from the antenna 20, it firstly switches its output B to a potential approaching that supplied by the circuit 50 which reverse biases the diodes  $D_1$ ,  $D_2$  thereby disabling the circuit 30. The transmitter then outputs a burst signal through the capacitor  $C_3$  to the antenna 20 from whence it is radiated as radiation. At the end of the burst signal, the transmitter switches its output B back to a potential of the signal earth so that the circuit 30 can continue to function to keep the capacitor  $C_2$  charged until a next burst of radiation is to be emitted.

The tag 600 provides a further advantage that, because only one antenna 20 is required, the antenna 20 can, if required, be enlarged to occupy a majority of a major surface area of the tag 600. Such enlargement is not possible to achieve when two or more antennae are incorporated into a tag, each antenna requiring more than 50% of the major surface area of the tag 600.

It will be appreciated by one skilled in the art that modifications can be made to the tags 10, 300, 400, 500, 600 without departing from the scope of the invention.

For example, the tags 10, 300, 400, 500, 600 can be moulded into a plastic block rather than being implemented in card-like form as illustrated in Figure 2. The block is a more robust shape compared to a card, thereby enable the tags 10, 300, 400, 500, 600 in block form to

WO 01/09640

PCT/GB00/02944

be deployed in rugged environments, for marking out a path in a smoke-filled burning building. A block is distinguishable from a card in that the ratio of the block's length, width and thickness dimensions are less than 1:3. A block form also includes a cuboid form, a pyramidal form and a near-spherical or spherical form.

5

As an alternative to using the diodes D1 to D4 in the tags 10, 300, 400, 500, 600, FETs functioning as asynchronous detectors may be employed. FETS operating in this mode exhibit a voltage drop thereacross in the order of microvolts which is less than a forward bias voltage drop associated with diodes.

10

The tags 10, 300, 400, 500, 600 can be used as personnel wearable identity tags. They may be attached to items of merchandise and used in conjunction with an associated interrogating source to provide a merchandise anti-theft system.

15

The tags 10, 300, 400, 500, 600 can be used in a similar manner to "magic eye" reflectors used to delineate lanes on motorways; a plurality of the tags 10, 300, 400, 500, 600 can be employed as interrogatable markers for marking out a path. Such use is potentially valuable, for example, for defining routes for automatically guided robotic vehicles around manufacturing and storage sites. The guided vehicles can be equipped with interrogating

20

sources which are sensitive to direction of radiation emitted from the tags 10, 300, 400, 500, 600 thereby determining direction of the tags 10, 300, 400, 500, 600 relative to the vehicles.

Each tag 10, 300, 400, 500, 600 can be provided with its own unique signature code, thereby enabling the vehicle to determine its position along the path from the signature codes. Such a method of vehicle guidance is preferable to wire guided vehicle systems where greater

25

installation cost can arise when installing guiding wires compared to distributing tags.

In the tags 10, 300, 400, 500, 600, the transformer 40 can be replaced by an alternative piezo-electric device operable to increase voltage. One example of an alternative piezo-electric device is a ceramic bi-morph in the form of an elongate member supported at one of its end and free the vibrate at its other end; such a bi-morph is capable of exhibiting a higher Q-factor than the transformer 40, thereby providing an enhanced voltage increase. Another example of an alternative piezo-electric device is a micromachined silicon device comprising an array of one or more suspended silicon cantilevers, each cantilever incorporating a deposited film piezo-electric transducer operable to generate a signal in response to vibration of the cantilever. The transducers are connected in series to add their signal voltages together to provide an overall output for the circuit 50. An excitation transducer operable to be driven by a drive signal from the circuit 30 is also incorporated for mechanically exciting the one or more cantilevers into vibration, preferable at resonance of the cantilevers. Silicon cantilevers are capable of exhibiting high resonance Q-factors approaching several million when operating in a miniature evacuated housing, thereby providing a considerable increase in signal voltage amplitude at the overall output compared to the drive signal. Silicon micromachining is a well known mass production process and involves fabrication of mechanical structures in silicon material using batch lithographic, deposition and etching techniques.

20

The tags 10, 300, 400, 500, 600 can be modified to include other types of electronic circuits, for example memory circuits and environmental sensors, for example radiation and chemical sensors. Such electronic circuits enable the tags to function as miniature personal data loggers which are personnel wearable and useable for monitoring the safety of personnel in working environments, for example in chemical laboratories where hazardous chemicals are

25

WO 01/09640

PCT/GB00/02944

handled.

The tags 10, 300, 400, 500, 600 can be further miniaturised and adapted for inclusion within biological systems, for example for use as remotely controlled insulin dispensers, as heart-stimulating pace-makers or as artificial retina. Use of piezo-transformers powered from received modulated radiation avoids the need for batteries in the tags and thereby enables the tags to be implanted permanently within biological systems without needing to be periodically removed.

## CLAIMS

1. A piezo-electric tag (10) including receiving means (20, 30) for receiving input radiation and generating a corresponding received signal, piezo-electric vibrating means (40, 50) for increasing voltage magnitude of the received signal to generate a supply potential and electronic circuit means (60) powerable by the supply potential.
2. A tag according to Claim 1 wherein the vibrating means (30, 40) comprises a piezo-electric transformer (40) incorporating mutually vibrationally coupled primary and secondary regions (42, 44), the transformer (40) operable to be excited into vibration by the received signal at the primary region (42) and to generate a corresponding output signal at the secondary region (44) for use in generating the supply potential.
3. A tag according to Claim 1 wherein the vibrating means (40) comprises a piezo-electric bi-morph operable to be excited into vibration by the received signal and to generate a corresponding output signal for use in generating the supply potential.
4. A tag according to Claim 1 wherein the vibrating means comprises a silicon micromachined device comprising an array of one or more resonant elements, each element incorporating an associated piezo-electric transducer operable to generate an element signal in response to vibration of its associated element, the transducers connected in series to add their element signals to provide an overall output from which the supply potential is generated, and driving means operable to be driven by

the received signal for stimulating the one or more elements into vibration and thereby generating the supply potential.

5. A tag according to Claim 4 wherein the resonant elements are operable at resonance to generate the supply potential.
6. A tag according to Claim 4 or 5 wherein the resonant elements are housed in an evacuated environment for increasing their resonance Q factor.
7. A tag according to any one of Claims 1 to 6 wherein the receiving means incorporates demodulating means (30) for demodulating modulation components present in the received radiation to generate the received signal.
8. A tag according to Claim 7 wherein the demodulating means (30) incorporates zero-bias Schottky diodes for demodulating the received radiation to generate the received signal.
9. A tag according to Claim 7 wherein the demodulating means incorporates transistors operable as synchronous demodulators for demodulating the received signal to generate the received signal.
10. A tag according to any preceding claim wherein the circuit means is operable to function in a class C mode for reducing tag power consumption.

11. A tag according to any one of Claims 1 to 10 wherein the receiving means (20, 310) incorporates first and second antennae (20, 310) for generating the received signal for exciting the vibrating means (40), the first antenna adapted (20) to respond to microwave radiation and the second antenna (310) adapted to respond to radiation having a carrier frequency corresponding to a resonant frequency of the vibrating means (40).
12. A tag according to any one of Claims 1 to 11 wherein the receiving means (20, 310, 410, 430) incorporates at least one of a metallic film dipole antenna, a loop antenna and a patch antenna for one or more of receiving and emitting radiation.
13. A tag according to any one of Claims 1 to 12 wherein the circuit means comprises responding means (64, 68, 70; 420, 430; 510, 520, 530) for emitting output radiation from the tag (10; 400; 500), the responding means powerable by the supply potential.
14. A tag according to Claim 13 wherein the vibrating means is operable to recover a clock component of Manchester bi-phase encoded radiation received at the tag and the responding means is operable to use the clock component to demodulate the encoded radiation to generate corresponding demodulated data for use in the tag.
15. A tag according to Claim 13 wherein the tag incorporates two antennae (20, 64), one antenna (20) for use in generating the received signal and the other (64) incorporated into the responding means (60) for at least one of emitting and receiving radiation.
16. A tag according to Claim 13 wherein the antennae are conductive metallic film dipole

antennae.

17. A tag according to any preceding claim in the form of a block.
18. A tag according to any one or Claims 1 to 16 in the form of a planar card (Fig. 2).
19. A tag according to Claim 18 wherein the card incorporates recesses (230, 240, 250, 260) for accommodating the receiving means, the vibrating means and the responding means.
20. A tag according to Claims 13 wherein the responding means is a transponder operable to receive input radiation to the tag and emit output radiation in response from the tag.
21. A tag according to Claim 20 wherein the transponder is operable to modulate the output radiation with a signature code by which the tag can be individually identified.
22. A tag (10) according to Claim 20 or 21 wherein the transponder incorporates a reflection amplifier (70) for amplifying the input radiation to generate the output radiation.
23. A tag (10) according to Claim 20, 21 or 22 wherein the transponder is operable in a pseudo-continuous mode and incorporates a delay line (68) for delaying the output radiation relative to the input radiation, thereby counteracting spontaneous oscillation from arising within the transponder from feedback therein.



24. A tag according to any preceding claim incorporating a metallic earthing plane for providing a common signal earth for the tag.
25. A tag according to any preceding claim adapted for implantation into a biological system and operable to at least one of monitor and stimulate the biological system.
26. A method of guiding vehicles along a path to a destination, the method comprising the steps of:
- (a) distributing a plurality of tags according to any one of Claims 1 to 24 along the path and providing the vehicle with a direction sensitive interrogating source adapted to transpond with the tags;
  - (b) interrogating the tags from the source by emitting radiation to the tags and receiving radiation therefrom, thereby determining direction of the tags relative to the source and hence determining the path;
  - (c) moving the vehicle along the path; and
  - (d) repeating steps (b) and (c) until the vehicle reaches the destination.
27. A silicon micromachined device for receiving an input signal and generating a corresponding voltage magnitude enhanced output signal therefrom, the device comprising an array of one or more resonant elements, each element incorporating an associated piezo-electric transducer operable to generate an element signal in response to vibration of its associated element, the transducers connected in series to add their element signals to provide the output signal, and driving means operable to

WO 01/09640

PCT/GB00/02944

be driven by the input signal for stimulating the one or more elements into vibration and thereby generating the output signal.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



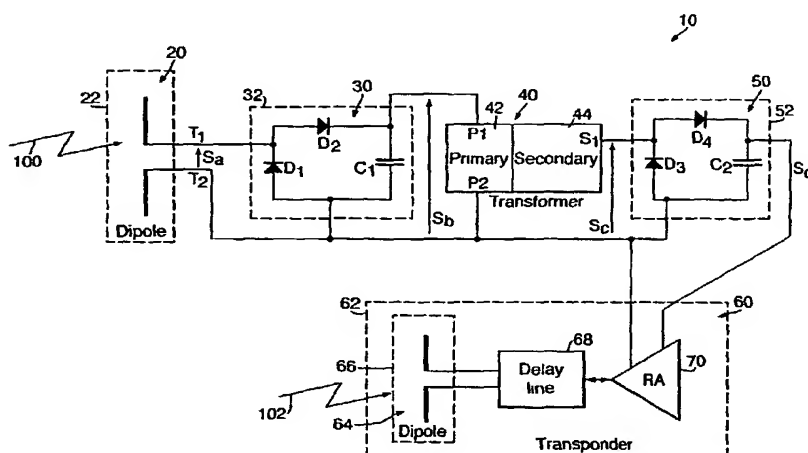
(43) International Publication Date  
8 February 2001 (08.02.2001)

PCT

(10) International Publication Number  
**WO 01/09640 A1**

- (51) International Patent Classification<sup>7</sup>: G01S 13/02, G06K 19/07
- (21) International Application Number: PCT/GB00/02944
- (22) International Filing Date: 31 July 2000 (31.07.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
9917856.8 29 July 1999 (29.07.1999) GB
- (71) Applicant (for all designated States except US): MARCONI CASWELL LIMITED [GB/GB]; One Bruton Street, London W1X 8AQ (GB).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): FORSTER, Ian, James [GB/GB]; 31 Great Cob, Springfield, Chelmsford, Essex CM1 5LA (GB).
- (54) Title: PIEZO-ELECTRIC TAG
- (74) Agent: HOSTE, Colin, Francis; Marconi Intellectual Property, Waterhouse Lane, Chelmsford, Essex CM1 2QX (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:
- With international search report.
  - Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.

[Continued on next page]



(57) Abstract: The invention provides a piezo-electric tag (10, 300) in the form of a card, the tag (10, 300) incorporating a first dipole antenna (20), a first rectification circuit (30), a piezo-electric transformer (40), a second rectification circuit (50) and a transponder circuit (60). In operation, the antenna (20) receives incoming radiation and generates a corresponding signal  $S_a$  which propagates to the first circuit (30) which demodulates and filters it to generate a signal  $S_b$ . The signal  $S_b$  is applied to the transformer (40) to excite it. The transformer (40) increases the voltage amplitude of the signal  $S_b$  by generating a relatively higher voltage amplitude signal  $S_c$  which is used in the tag (10, 300) to generate a signal  $S_d$  for supplying power to the transponder (60). The transformer (40) provides voltage magnitude enhancement to generate potentials suitable for operating active electronic circuits incorporated into the tag (10, 300). The tag can be personnel wearable and even adapted for permanent inclusion into biological systems.

WO 01/09640 A1

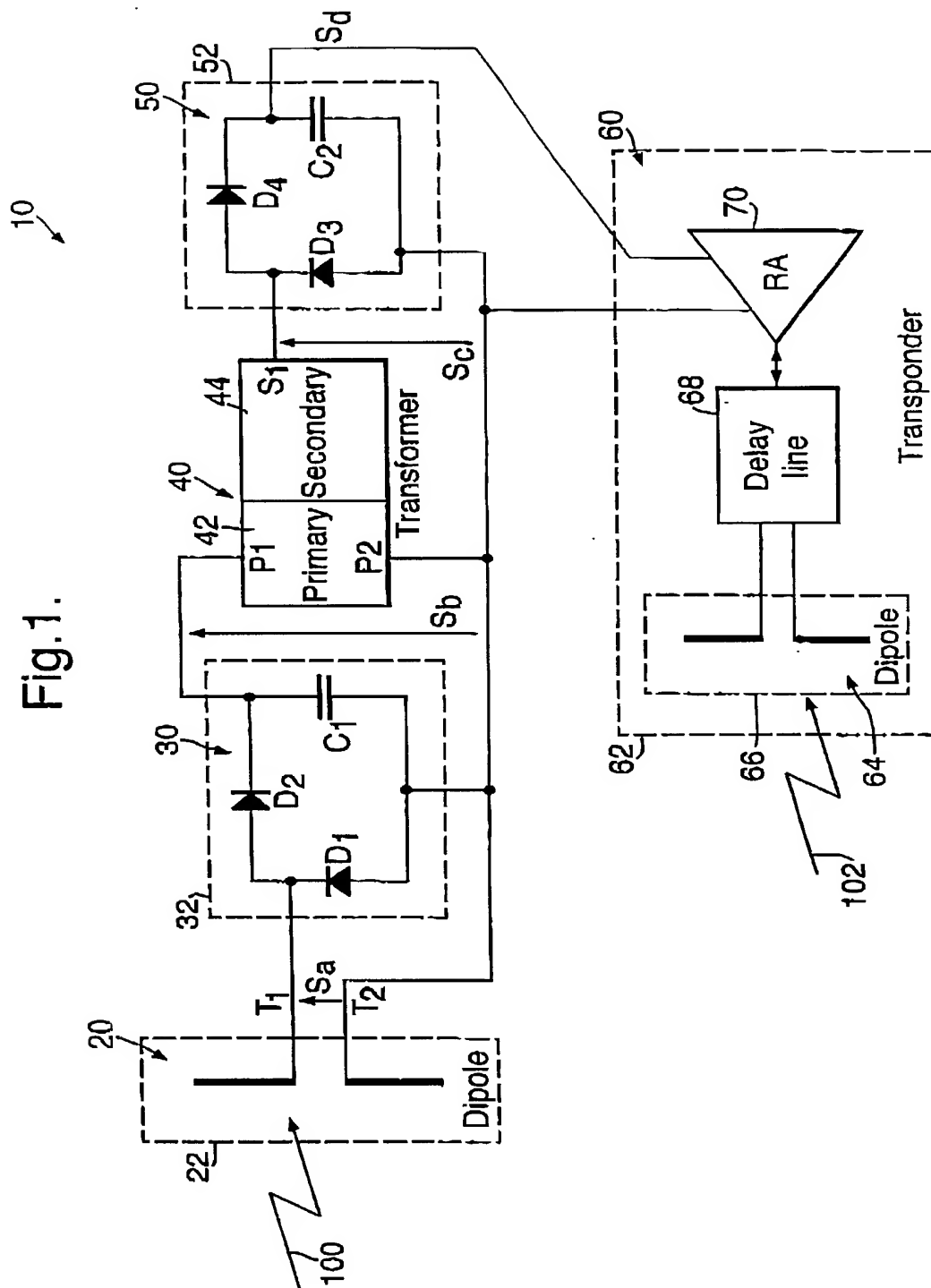
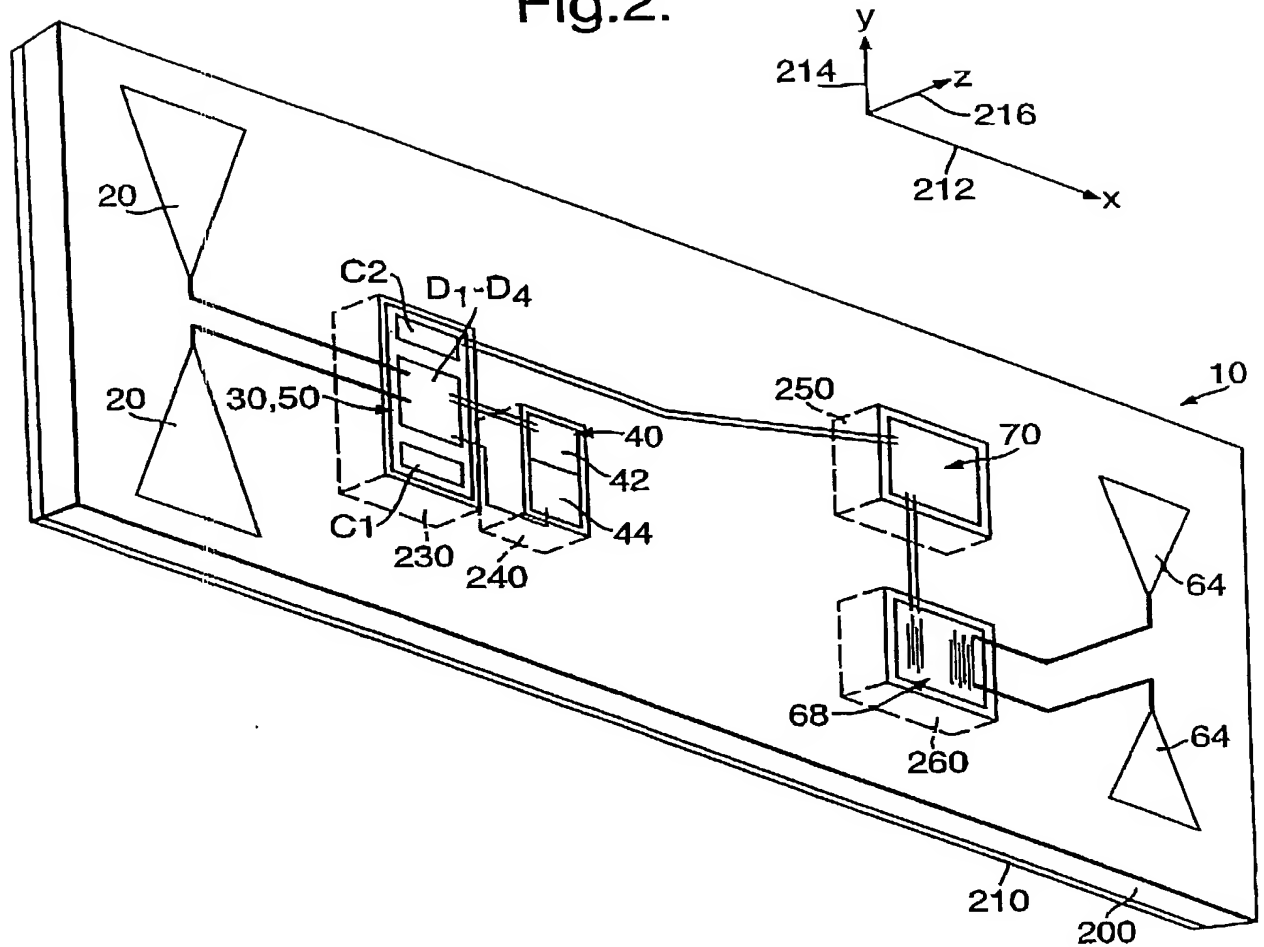
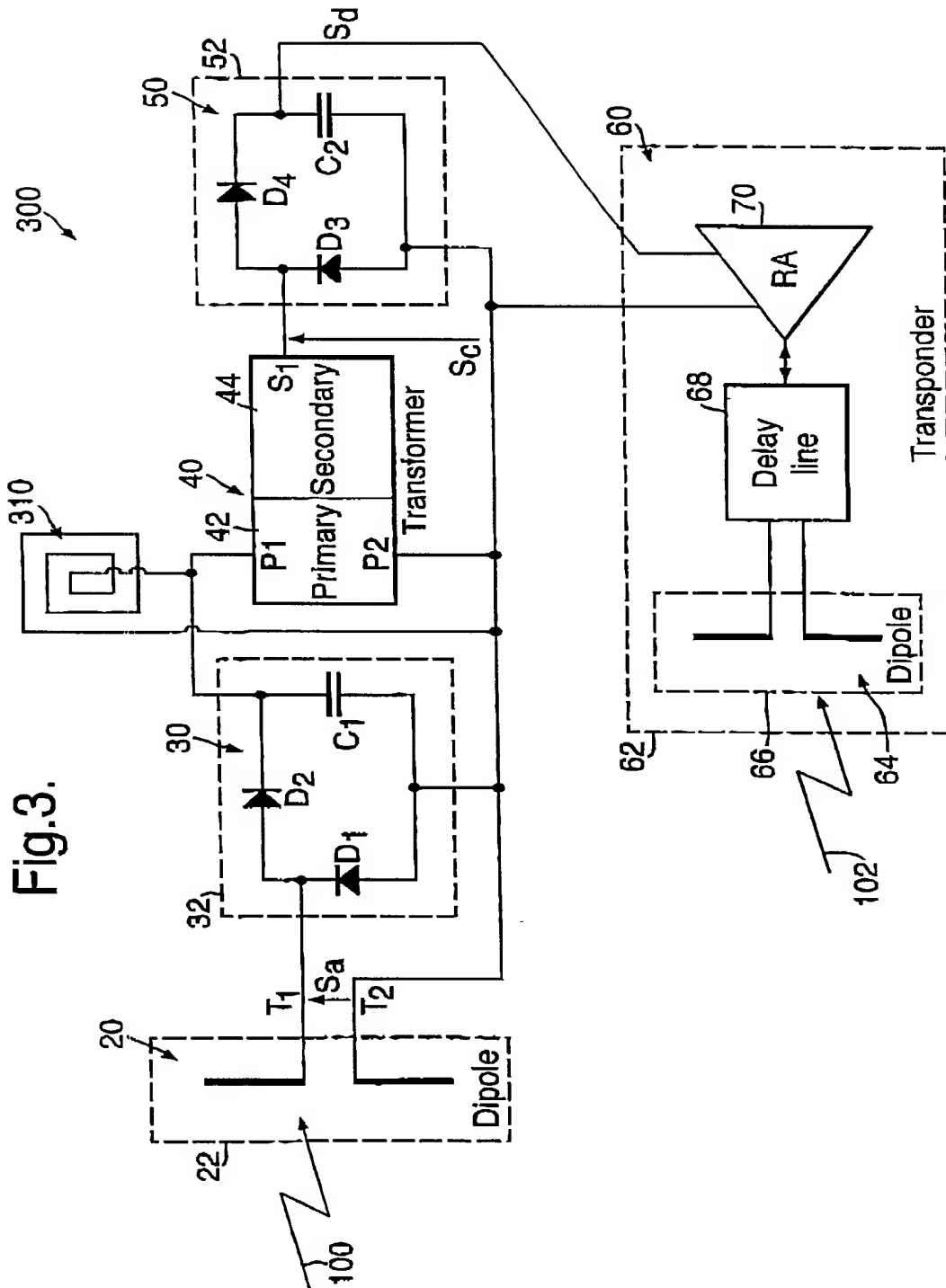


Fig.2.



100493198 10/048108

3/6



WO 01/09640

4/6

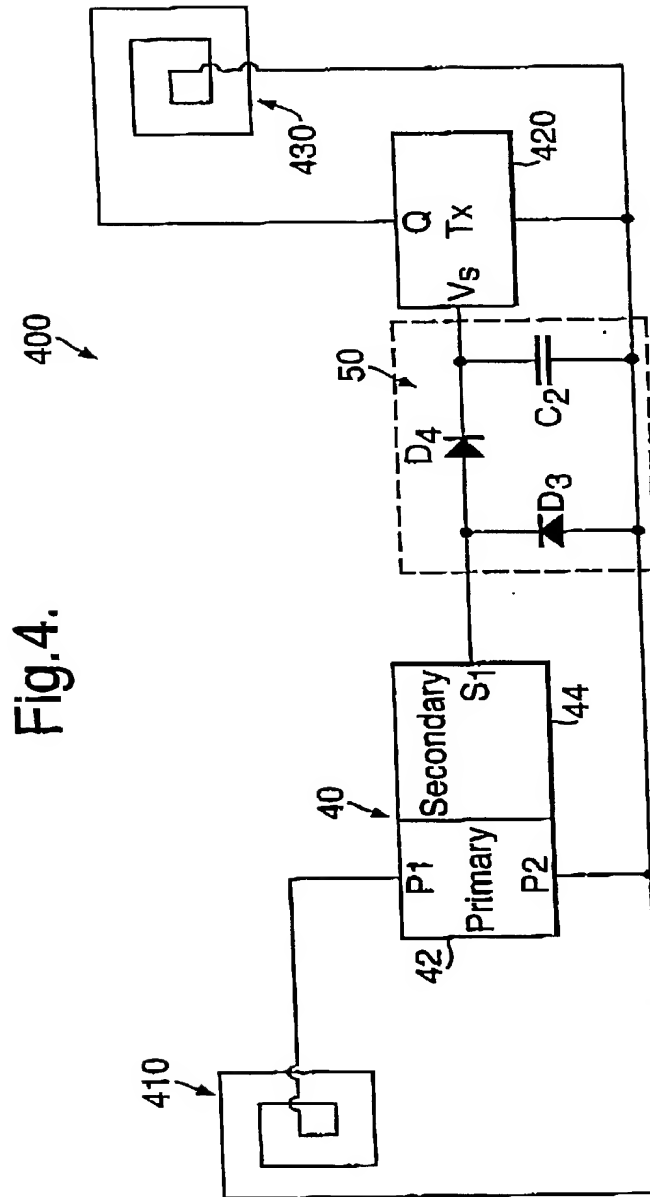


Fig. 5.

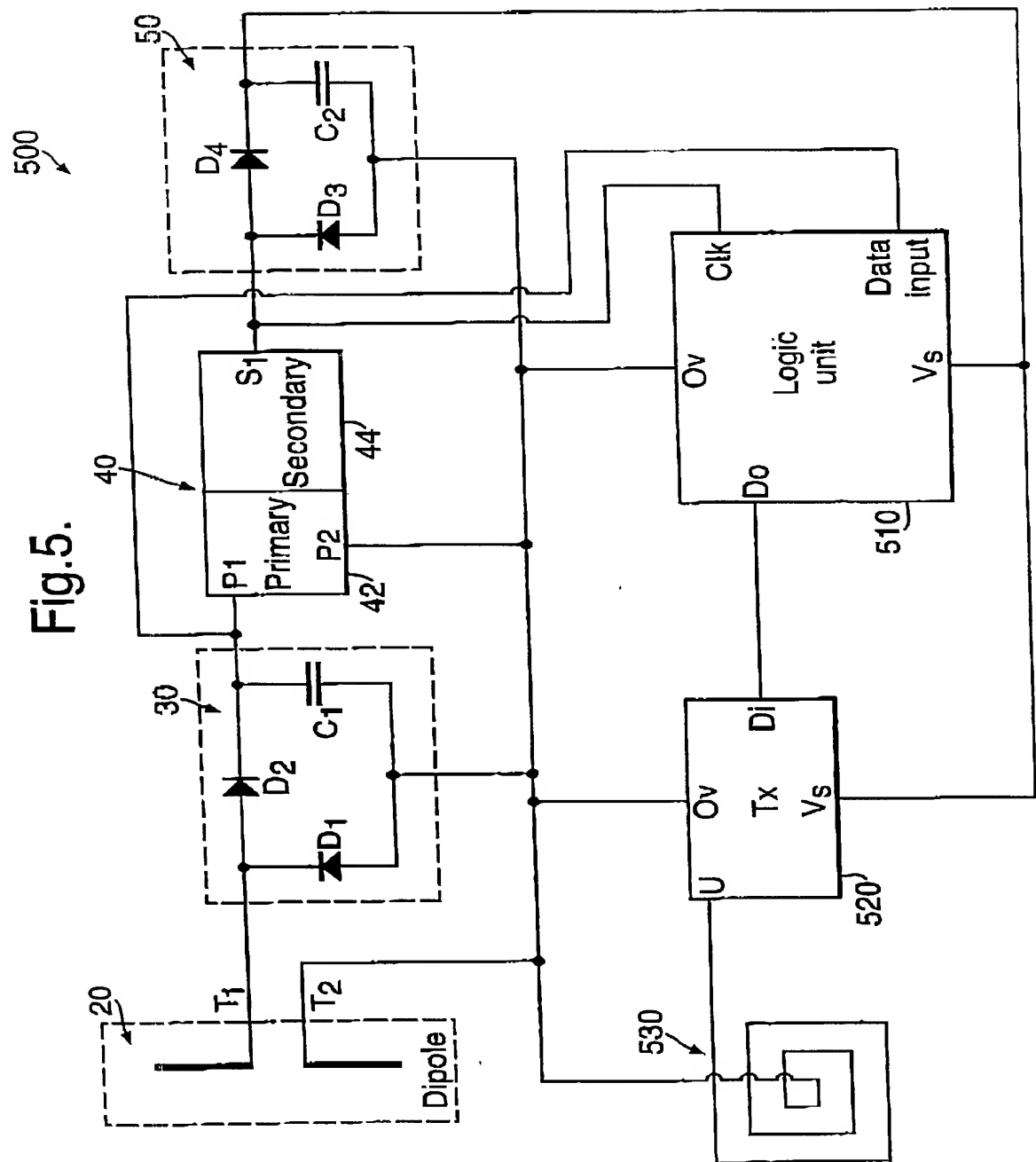
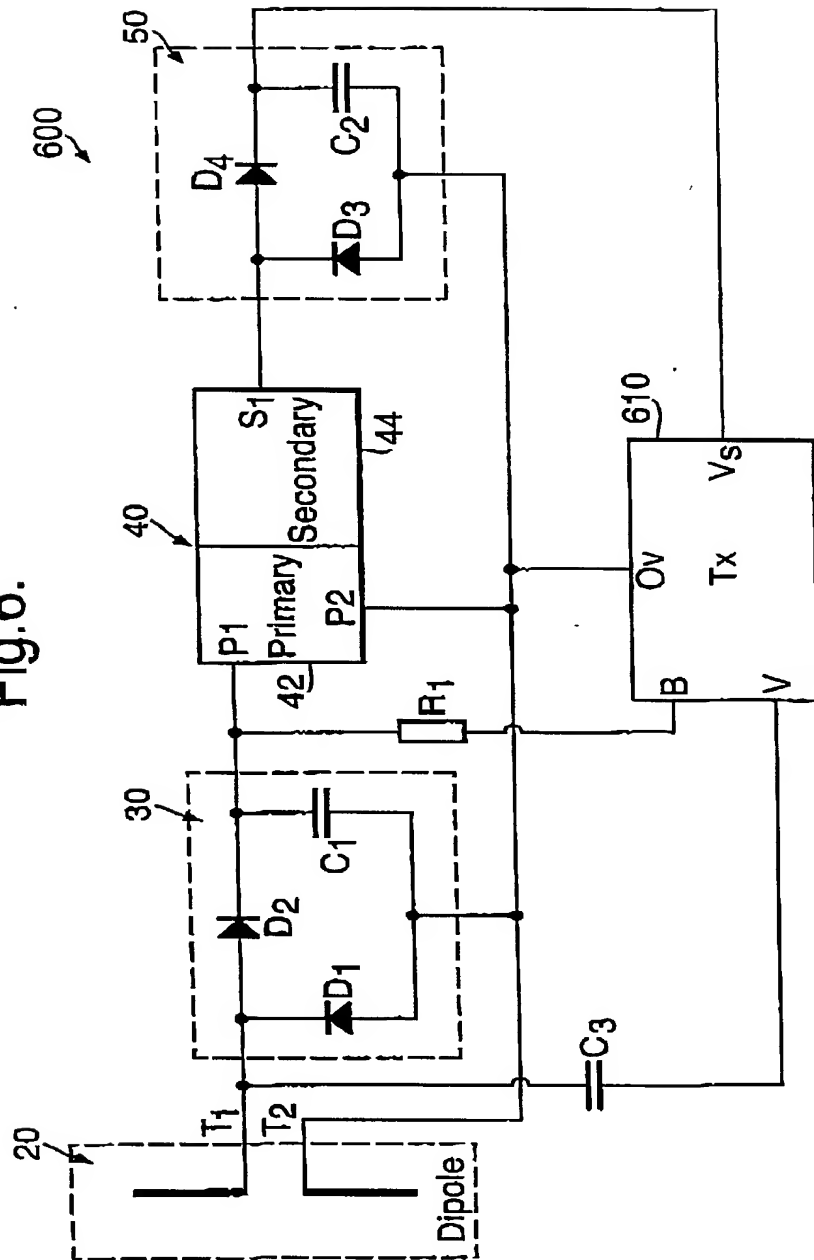




Fig.6.



APPROVED FOR USE THROUGH 9/30/98  
 PTO/SB/01 (6-95)  
 OMB 0651-0032

Type a plus sign (+) inside this box → +

Approved for use through 9/30/98  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0010/PTO Rev. 6/95  U.S. Department of Commerce Patent and Trademark Office  <h2 style="text-align: center;">DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</h2> <p> <input type="checkbox"/> Declaration Submitted with Initial Filing         OR         <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing       </p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Attorney Docket Number</td> <td>P/61827</td> </tr> <tr> <td>First Named Inventor</td> <td>FORSTER, IAN JAMES</td> </tr> <tr> <td colspan="2" style="text-align: center;"><i>COMPLETE IF KNOWN</i></td> </tr> <tr> <td>Application Number</td> <td>10/048,108</td> </tr> <tr> <td>Filing Date</td> <td>JANUARY 25, 2002</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> </table>	Attorney Docket Number	P/61827	First Named Inventor	FORSTER, IAN JAMES	<i>COMPLETE IF KNOWN</i>		Application Number	10/048,108	Filing Date	JANUARY 25, 2002	Group Art Unit		Examiner Name	
Attorney Docket Number	P/61827														
First Named Inventor	FORSTER, IAN JAMES														
<i>COMPLETE IF KNOWN</i>															
Application Number	10/048,108														
Filing Date	JANUARY 25, 2002														
Group Art Unit															
Examiner Name															

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PIEZO-ELECTRIC TAG

*(Title of the Invention)*

the specification of which

☐ is attached hereto  
 OR  
☒ was filed on (MM/DD/YYYY) JANUARY 25, 2002 as United States Application Number or PCT International

Application Number 10/048,108 and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
9917856.8	United Kingdom	July 29 1999	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>
PCT/GB00/02944	INTERNATIONAL	JULY 31, 2000	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input checked="" type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.

Type a plus sign (+) inside this box → ☐

+

# DECLARATION

Page 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §385(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number or label   
☒ List attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
David B. Kirschstein, Esq.	17,244		
Alan Israel, Esq.	27,564		
Martin W. Schiffmiller, Esq.	30,421		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☐ Customer Number or label  OR ☒ Fill in correspondence address below

Name	KIRSCHSTEIN, OTTINGER, ISRAEL & SCHIFFMILLER, P.C.		
Address	489 Fifth Avenue		
Address			
City	New York	State	New York
Country	United States	Telephone	(212) 697-3750
		Fax	(212) 949-1690

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name	Ian	Middle Initial	J	Family Name	FORSTER	Suffix e.g. Jr.	
Inventor's Signature	X				Date	X 6th February 2002	

Residence: City	Springfield, Chelmsford	State		Country	United Kingdom	Citizenship	British
Post Office Address	31 Great Cob, Springfield, Chelmsford, Essex, CM1 5LA, (GB) UK						
Post Office Address							
City	Springfield, Chelmsford	State		Zip	CM1 5LA	Country	United Kingdom
Applicant Authority							

☐ Additional inventors are being named on supplemental sheet(s) attached hereto